

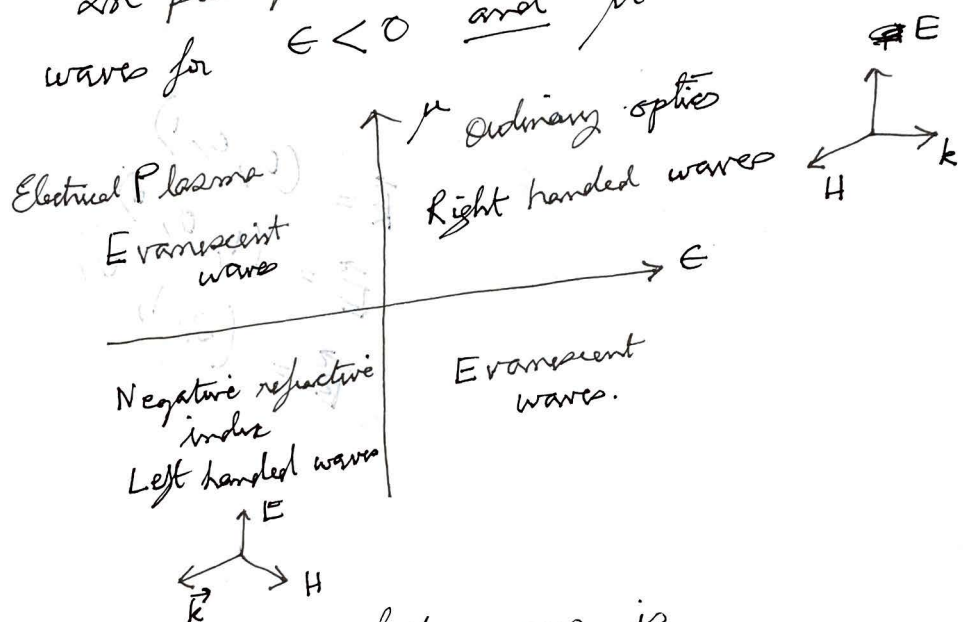
Negative index of refraction

Dispersion relation for EM waves

$$k^2 = \mu(\omega) \epsilon(\omega) \omega^2$$

Waves propagate for $\mu\epsilon > 0$.

In principle, we can also have waves for $\epsilon < 0$ and $\mu < 0$.



The velocity of the wave is

$$v = \frac{1}{\sqrt{\mu\epsilon}}$$

and the index of refraction $n = \frac{c}{v}$

$$n^2 = \mu \epsilon / \mu_0 \epsilon_0$$

It turns out that

$$\boxed{\begin{aligned} n &= -\sqrt{\epsilon\mu} / \sqrt{\epsilon_0\mu_0} \text{ for } \epsilon < 0, \mu < 0 \\ n &= \sqrt{\epsilon\mu} / \sqrt{\epsilon_0\mu_0} \text{ for } \epsilon > 0, \mu > 0. \end{aligned}}$$

Poynting vector for an EM wave is

$$\vec{S} = \vec{E} \times \vec{H} = \frac{1}{\mu} \vec{E} \times \vec{B}$$

→ note change of sign!

For an EM wave

$$\vec{E}(\vec{x}, t) = \vec{E} e^{i(\vec{k} \cdot \vec{x} - \omega t)}$$

$$\vec{B}(\vec{x}, t) = \vec{B} e^{i(\vec{k} \cdot \vec{x} - \omega t)}$$

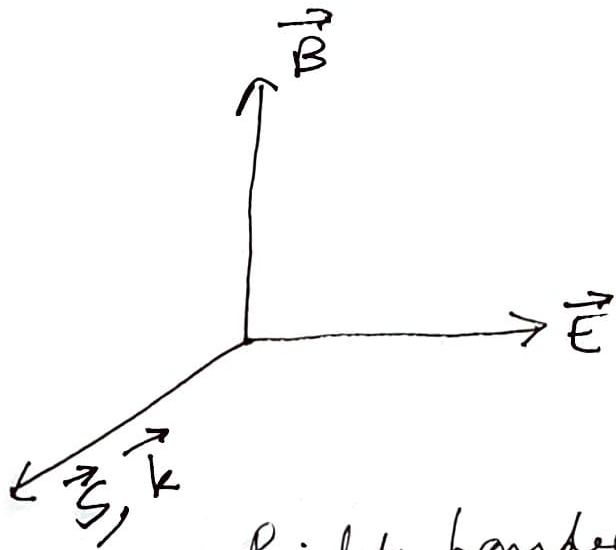
Maxwell equations

$$\boxed{\begin{aligned} \vec{k} \cdot \vec{E} &= 0 & \vec{k} \cdot \vec{B} &= 0 \\ \vec{k} \times \vec{B} &= -\mu\epsilon\omega \vec{E} \\ \vec{k} \times \vec{E} &= \omega \vec{B} \end{aligned}}$$

$$\begin{aligned} \text{So } \vec{S} &= \frac{1}{\mu} \vec{E} \times \vec{B} = \frac{\vec{E} \times (\vec{k} \times \vec{E})}{\mu |\vec{k}|} \sqrt{\epsilon\mu} \\ &= \frac{\sqrt{\epsilon\mu}}{\mu} \frac{\vec{k}}{|\vec{k}|} |\vec{E}|^2 \end{aligned}$$

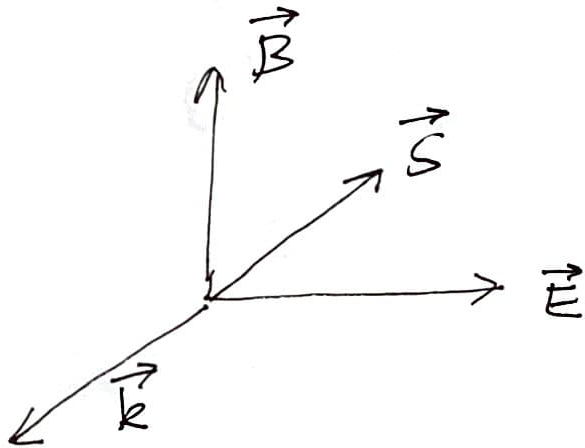
$\&$

$$\epsilon > 0, \mu > 0$$



Right handed material

$$\epsilon < 0, \mu < 0$$



Left handed material.

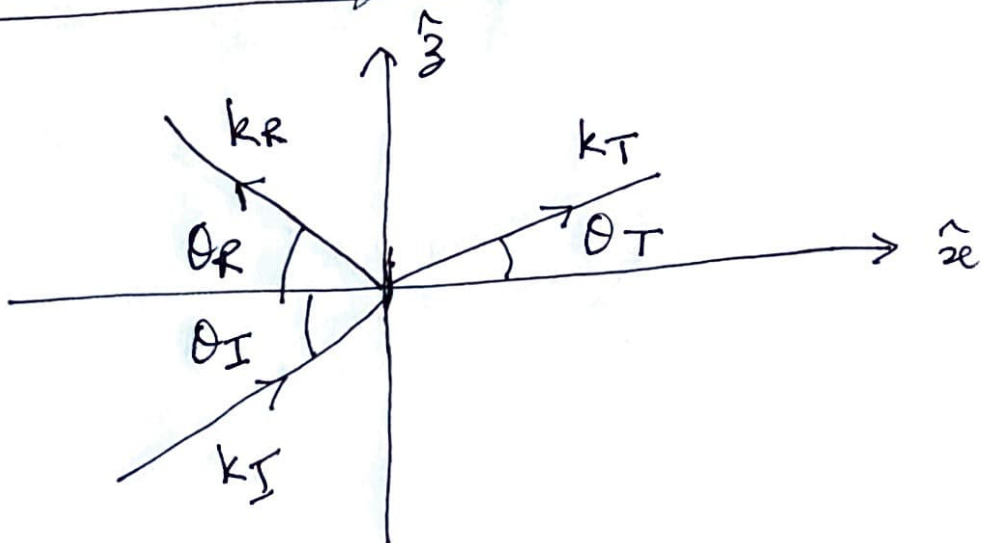
Interface equations

$$\begin{array}{c} \vec{E}_1, \vec{B}_1, \epsilon_1, \mu_1 \\ \hat{n} \uparrow \\ \hline \vec{E}_2, \vec{B}_2, \epsilon_2, \mu_2 \end{array}$$

Boundary conditions

$$\begin{array}{l} \hat{n} \cdot (\vec{B}_1 - \vec{B}_2) = 0 \\ \hat{n} \cdot (\epsilon_1 \vec{E}_1 - \epsilon_2 \vec{E}_2) = 0 \\ \hat{n} \times (\vec{E}_1 - \vec{E}_2) = 0 \\ \hat{n} \times \left(\frac{\vec{B}_1}{\mu_1} - \frac{\vec{B}_2}{\mu_2} \right) = 0 \end{array}$$

Recall usual refraction



From matching boundary conditions we obtained

$$k_I = k_R = \omega \sqrt{\epsilon_1 \mu_1}$$

$$k_T = \omega \sqrt{\epsilon_2 \mu_2}$$

$$\vec{k}_I \cdot \hat{z} = \vec{k}_R \cdot \hat{z} = \vec{k}_T \cdot \hat{z}$$

$$\Rightarrow k_I \sin \theta_I = k_R \sin \theta_R = k_T \sin \theta_T$$

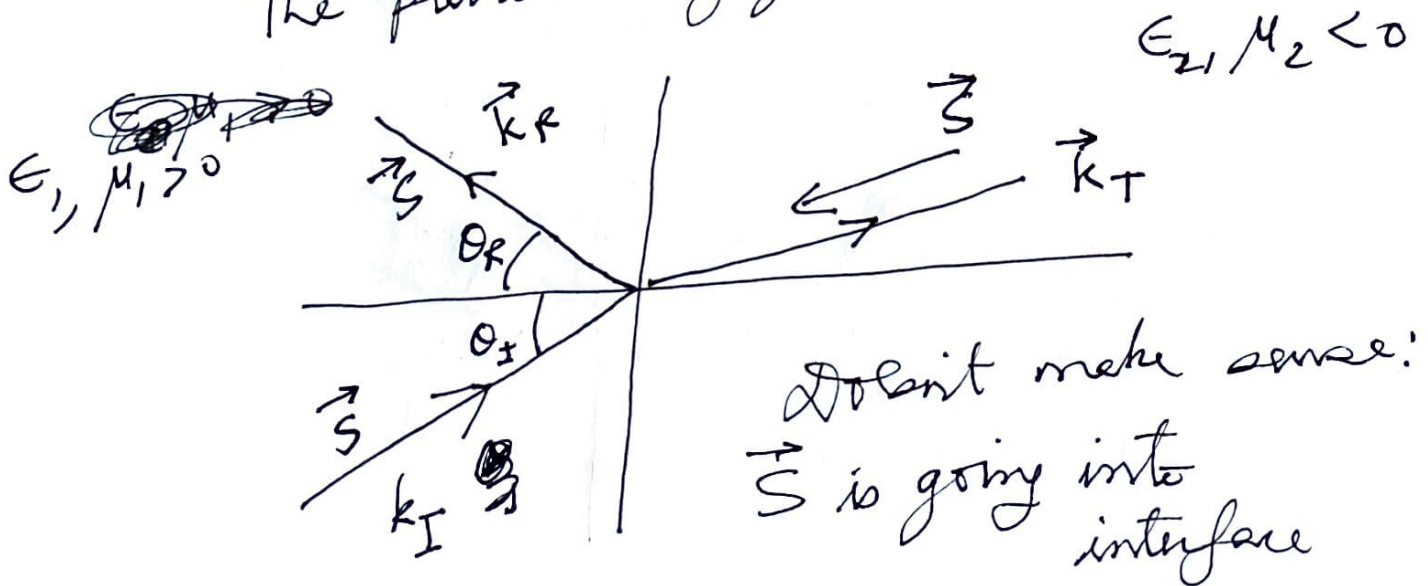
Solution is $k_I = k_R$; $\theta_I = \theta_R$

and $\omega \sqrt{\epsilon_1 \mu_1} \sin \theta_I = \omega \sqrt{\epsilon_2 \mu_2} \sin \theta_T$

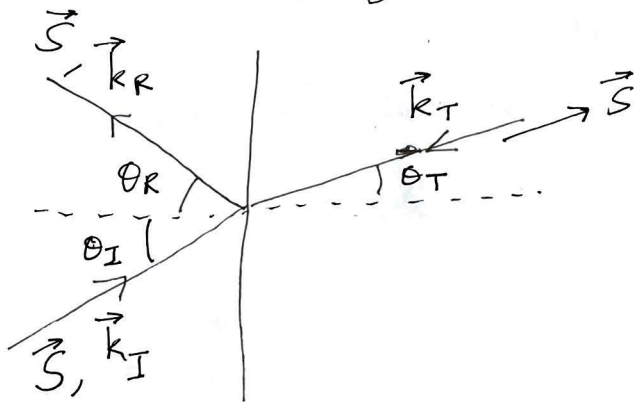
Snell's law $\boxed{n_1 \sin \theta_I = n_2 \sin \theta_T}$

Now consider right handed material

The previous configuration



Energy must flow away from interface
 (Can also obtain by matching boundary conditions)



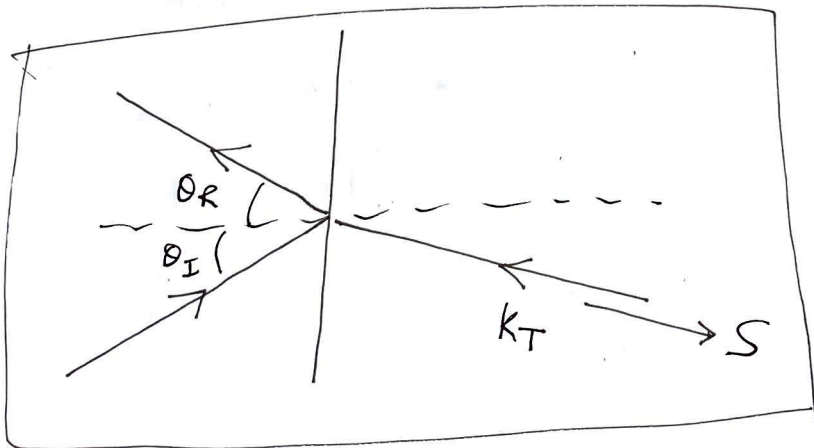
Matching $\vec{k} \cdot \vec{n}$ as before we now obtain

$$\sqrt{\epsilon_1 \mu_1} \sin \theta_I = -\sqrt{\epsilon_2 \mu_2} \sin \theta_T$$

i.e. $\theta_T < 0!$

$$n_2 = \frac{-\sqrt{\epsilon_2 \mu_2}}{\sqrt{\epsilon_0 \mu_0}}$$

Actual situation

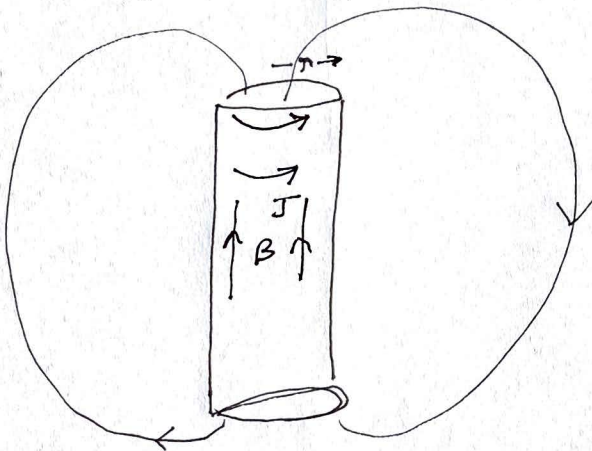


How do we obtain a $\mu < 0$?

Requires a nano-structured meta material
(Pendry 1999)

Use a lattice of hollow metallic cylinders.

First consider a single metallic cylinder

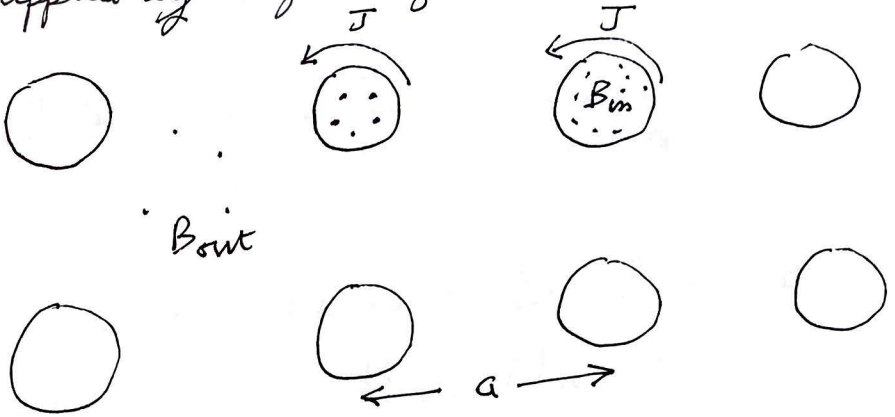


$J \rightarrow$ current per unit length

From Ampere's law $B = \mu_0 J$ inside
cylinder.

$\int_S B \cdot dS = 0$ on any infinite plane.
Flux inside = -Flux outside.

Now for an infinite lattice with an applied magnetic field B_0



$$B_{in} = B_0 + \mu_0 J - \mu_0 \frac{\pi r^2}{a^2} J$$

$$B_{out} = B_0 - \mu_0 \frac{\pi r^2}{a^2} J$$

Correction from flux outside cylinder

Chosen so that

~~$$\int B \cdot dS = \mu_0 J$$

independent of J .~~

$$\int B \cdot dS = B_0 a^2$$

unit cell independent of J

Now apply Faraday's law

$$\pi r^2 \frac{d}{dt} \left(B_0 + \mu_0 J - \frac{\pi r^2}{a^2} \mu_0 J \right) = -2\pi r \sigma J$$

where σ is the conductivity per unit area.
resistivity

This yields for $B_0 \rightarrow B_0 e^{-i\omega t}$

$$J(\omega) = \frac{-B_0}{\mu_0 \left(1 - \frac{\pi n^2}{a^2}\right) + i \frac{2\pi\sigma}{\omega a}}$$

We want to characterize this \vec{J} and $\vec{B}(z)$ by an effective B_{av} , M_{av} , and H_{av} per unit cell.

Q. How do we do the average?

Integral form of Maxwell's equation

$$\int_C \mathbf{H} \cdot d\mathbf{l} = \frac{\partial}{\partial t} \int_S \mathbf{D} \cdot d\mathbf{S}$$

$$\int_C \mathbf{E} \cdot d\mathbf{l} = -\frac{\partial}{\partial t} \int_S \mathbf{B} \cdot d\mathbf{S}$$

This implies we should average B over the unit cell boundary,

and average H over the unit cell edges.

Note: we are considering waves with $\lambda \gg a$, hence the averaging.

With this prescription we ~~also~~ obtain

$$\begin{aligned} B_{av} &= B_0 \\ H_{av} &= \frac{1}{\mu_0} B_{outside} \end{aligned}$$

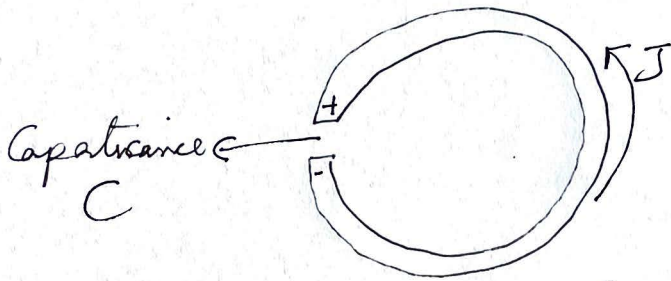
$$\text{So } \mu_{av} = \frac{B_{av}}{H_{av}}$$

$$\mu_{av} = \mu_0 \left(1 - \frac{\pi r^2 / a^2}{1 + \frac{2i\sigma}{\omega\mu_0 r}} \right)$$

This not yet sufficient to yield a $\text{Re}[\mu_{av}] < 0$.

Solution: introduce a σ capacitance to make it like a resonant circuit

Split-ring resonator



Then Faraday's law is modified to

$$\pi r^2 \frac{d}{dt} \left(B_0 + \mu_0 J - \mu_0 \frac{\pi r^2 J}{a^2} \right) (-i\omega)$$

$$= -2\pi r \sigma J + \frac{J}{i\omega C}$$

↖ new term

Now proceeding as before

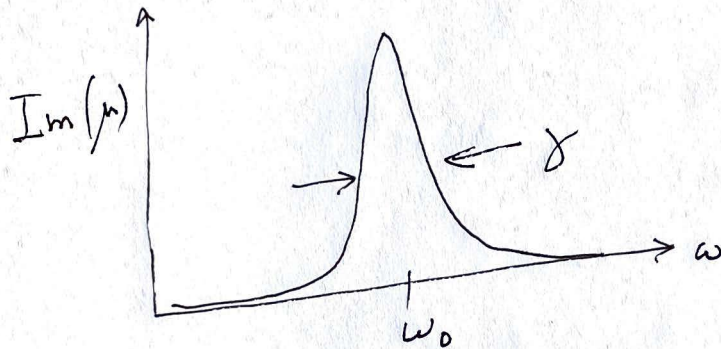
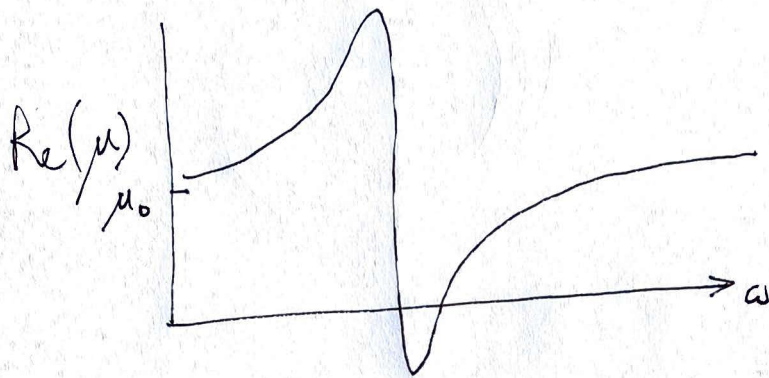
$$\mu = \mu_0 \left(1 - \frac{\pi r^2 / a^2}{1 + \frac{2i\sigma}{\omega \mu_0 r} - \frac{1}{\omega^2 C \pi r^2 \mu_0}} \right)$$

$$= \mu_0 \left(1 + \frac{f \omega^2}{\omega_0^2 - \omega^2 - i\gamma \omega} \right)$$

with $f = \pi r^2 / a^2$, $\gamma = \frac{2\sigma}{\mu_0 r}$

$$\omega_0^2 = \frac{1}{C \pi r^2 \mu_0} \rightarrow \frac{1}{LC} \text{ with inductance } \frac{\pi r^2 \mu_0}{L}$$

$$\mu = \mu_0 \left(1 + \frac{f \omega^2}{\omega_0^2 - \omega^2 - i \gamma \omega} \right)$$



For $\gamma \ll \omega_0$ the resonance is sharp
and μ can be negative.